

RGOSA

Research Group on Ordered Structures with Applications

# On Polynomial Conjectures of Nilpotent Lie Groups Unitary Representations

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# Kirillov Theory for nilpotent Lie groups

- Let  $G$  be a connected, simply connected, nilpotent Lie group of Lie algebra  $\mathfrak{g}$ .
- $\exp : \mathfrak{g} \rightarrow G$  is a (bipolynomial) diffeomorphism.
- Let  $\widehat{G}$  be the unitary dual of  $G$ .

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- Let  $H = \exp \mathfrak{h}$  be a closed connected subgroup of  $G$ .
- Let  $\chi$  be a unitary character of  $H$ . There exists a linear form  $f$  on  $\mathfrak{g}$  which vanishes on  $[\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}$  such that  $\chi = \chi_f$  where

$$\chi_f(\exp X) = e^{if(X)} \quad (i = \sqrt{-1}, X \in \mathfrak{h}).$$

- Let  $\tau(f, H) = \text{Ind}_H^G \chi$  be the induced (monomial) representation of  $G$ .

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- Let  $K(G, H, f)$  be the space of complex valued continuous functions  $\varphi$  on  $G$ , with compact support modulo  $H$  and which verifies

$$\varphi(gh) = \overline{\chi_f(h)}\varphi(g)$$

for all  $g \in G$  and  $h \in H$ .

- The group  $G$  acts on  $K(G, H, f)$  by left translation. For  $\xi, \eta \in K(G, H, f)$ , we have a  $G$ -invariant scalar product

$$\langle \xi, \eta \rangle = \int_{G/H} \xi(g) \overline{\eta(g)} d\nu(g).$$

- The representation  $\tau(f, H)$  is realized by **left translation** on the space  $L^2(G/H, \chi_f)$ , the completion of  $K(G, H, f)$  with respect to the scalar product  $\langle \cdot, \cdot \rangle$ .

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- $\tau(f, H)$  is **irreducible** if and only if  $\mathfrak{h} = \mathfrak{b}[f]$  is a **polarization** of  $f$  in  $\mathfrak{g}$  (Lagrangian subalgebra of  $\mathfrak{g}$  of maximal dimension).
- Any unitary and irreducible representation of  $G$  is obtained through this process.
- Let  $B[f] = \exp \mathfrak{b}[f]$ . The mapping  $K : \mathfrak{g}^* \rightarrow \widehat{G}, f \mapsto \tau(f, B[f])$  is called the Kirillov-Bernat mapping which factors to a bijection  $\tilde{K}$  through the quotient  $\mathfrak{g}^*/Ad^* := \text{Coadjoint orbit space}$ .
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# Disintegration of monomial representations: Branching laws

○ Let  $\tilde{\mu}$  be a positive measure on the affine space  $\Gamma_f = f + \mathfrak{h}^\perp$  which is equivalent to the Lebesgue measure on  $\Gamma_f$ . Let  $\mu$  be the image of  $\tilde{\mu}$  by the Kirillov-Bernat  $K : \mathfrak{g}^* \rightarrow \widehat{G}$ .

○ Then (Baklouti-Ludwig, Corwin-Greenleaf-Grelaud, Fujiwara, Lipsman):

$$\tau(f, H) \simeq \int_{\widehat{G}}^{\oplus} m(\pi) \pi d\mu(\pi),$$

where the multiplicity function is given as **the number of  $H$ -orbits in  $\Gamma_f \cap \Omega(\pi)$** . Here,  $\Omega(\pi)$  designates the coadjoint orbit associated to  $\pi$ .

○ *Furthermore,  $m(\pi)$  is the number of connected components of  $\Gamma_f \cap \Omega(\pi)$  whenever each component is a manifold of dimension  $\frac{\dim \Omega(\pi)}{2}$ . Otherwise,  $m(\pi)$  is equal to  $+\infty$ .*

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# Disintegration of monomial representations: Branching laws

## ○ Problems related to multiplicities

- (1) The multiplicity  $m(\pi)$  (as a function of  $\pi$ ) is always uniformly infinite or ( $\mu$ -almost) everywhere finite.
- (2) A necessary and sufficient condition for finiteness is that for generic  $\ell \in \Gamma_f$ , we have  $\dim G \cdot \ell = 2 \dim H \cdot \ell$ .
- (3) In the finite case, the multiplicity is bounded.
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# Branching laws of restrictions of representations

- Let  $K = \exp \mathfrak{k}$  be a closed connected Lie subgroup of  $G$ .
- Let  $\rho : \mathfrak{g}^* \rightarrow \mathfrak{k}^*$  be the restriction mapping.
- For  $\pi \in \hat{G}$ , we consider a finite measure  $\mu_\pi$  on  $\mathfrak{g}^*$  equivalent to the canonical measure on the orbit  $\Omega_G(\pi)$  which is regarded as a measure on  $\mathfrak{g}^*$ .
- Put  $\nu_\pi = (\theta_K \circ \rho)_*(\mu_\pi)$ . The restriction  $\pi|_K$  of  $\pi$  to  $K$  is disintegrated as:

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- The multiplicities  $m_{\sigma}^{\pi}$  is obtained as the number of the  $K$ -orbits contained in  $\Omega_G(\pi) \cap p^{-1}(\Omega_K(\sigma))$ .
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# An algebra of differential operators

○ Let  $\mathcal{U}(\mathfrak{g})$  be the enveloping algebra of  $\mathfrak{g}_{\mathbb{C}}$  and let  $\ker(\pi)$  be the primitive ideal of  $\mathcal{U}(\mathfrak{g})$  associated to  $\pi$ .

○ We introduce the algebra

$$\mathcal{U}_{\pi}(\mathfrak{g})^{\mathfrak{k}} = \{A \in \mathcal{U}(\mathfrak{g}); [A, \mathfrak{k}] \subset \ker(\pi)\}$$

and its image

$$D_{\pi}(G)^K \cong \mathcal{U}_{\pi}(\mathfrak{g})^{\mathfrak{k}} / \ker(\pi) \cong (\mathcal{U}(\mathfrak{g}) / \ker(\pi))^K,$$

where the last algebra designates the quotient algebra of  $K$ -invariant elements.

○  $D_{\pi}(G)^K$  is nothing but an algebra of differential operators with polynomial coefficients on the space of  $C^{\infty}$ -vectors of  $\pi$ .

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# Two Conjectures: Corwin-Greenleaf(1992), Baklouti-Fujiwara(2004)

**Conjecture 1 (Commutativity Conjecture):** *Let  $G$  be a connected and simply connected nilpotent Lie group,  $K$  an analytic subgroup of  $G$ . Then the algebra  $D_\pi(G)^K$  is commutative if and only if the representation  $\pi|_K$  has finite multiplicities.*

# Two Conjectures: Corwin-Greenleaf(1992), Baklouti-Fujiwara(2004)

**Conjecture 2 (Polynomial Conjecture):** *Let  $G$  be a connected and simply connected nilpotent Lie group,  $K$  an analytic subgroup of  $G$ . Let  $\pi \in \hat{G}$  be a unitary and irreducible representation of  $G$  such that  $\pi|_K$  is of finite multiplicities. Then the algebra  $D_\pi(G)^K$  is isomorphic to the algebra  $\mathbb{C}[\Omega(\pi)]^K$  of the  $K$ -invariant polynomial functions on  $\Omega(\pi)$ .*



# About Conjecture 1

- Introducing some algebraic tools to describe the generators of the algebra  $D_\pi(G)^K$  in term of the envelopping algebra of  $\mathfrak{g}_\mathbb{C}$ , we proved Conjecture 1:

Theorem 1 (A. Bak, H. Fujiwara, 2004)

*Conjecture 1 holds in the setting of connected simply connected nilpotent Lie groups.*

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# About Conjecture 2: Longstanding joint works with H. Fujiwara and J. Ludwig

- We positively proved Conjecture 2 in many settings:
- The case where  $K$  is a normal subgroup of  $G$  or where the orbit  $\Omega(\pi)$  is flat (Bull. Sci. Math, 2005).
- $K$  is abelian or where  $\Omega(\pi)$  admits a normal polarizing subgroup (J. Lie. Theory, 2019).

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# About Conjecture 2: Rationality

○ Let  $\ell \in \Omega(\pi)$  and  $\mathfrak{b}[\ell]$  a real polarization at  $\ell$  of  $\mathfrak{g}$ . Realize  $\pi$  as  $\pi = \text{ind}_{B[\ell]}^G \chi_\ell$  with  $B[\ell] = \exp(\mathfrak{b}[\ell])$  and  $\chi_\ell$  is the unitary character of  $B[\ell]$  whose differential is  $i\ell|_{\mathfrak{b}[\ell]}$ .

○ We also construct a real polarization  $\mathfrak{b}[\ell|_{\mathfrak{k}}]$  of  $\mathfrak{k}$  at  $\ell|_{\mathfrak{k}} \in \mathfrak{k}^*$ . Put  $B[\ell|_{\mathfrak{k}}] = \exp(\mathfrak{b}[\ell|_{\mathfrak{k}}])$ .

○ Let for  $\varphi \in \mathcal{H}_\pi^\infty$ , the semi-invariant generalized Penney distribution:

$$\langle a_\ell^K, \varphi \rangle = \int_{B[\ell|_{\mathfrak{k}}]/(B[\ell|_{\mathfrak{k}}] \cap B[\ell])} \overline{\varphi(b)\chi_\ell(b)} db,$$

○  $db$  designating an invariant measure on the homogeneous space  $B[\ell|_{\mathfrak{k}}]/(B[\ell|_{\mathfrak{k}}] \cap B[\ell])$

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- We also construct a real polarization  $\mathfrak{b}[\ell|_{\mathfrak{k}}]$  of  $\mathfrak{k}$  at  $\ell|_{\mathfrak{k}} \in \mathfrak{k}^*$ . Put  $B[\ell|_{\mathfrak{k}}] = \exp(\mathfrak{b}[\ell|_{\mathfrak{k}}])$ .
- Let for  $\varphi \in \mathcal{H}_\pi^\infty$ , the semi-invariant generalized Penney distribution:

$$\langle a_\ell^K, \varphi \rangle = \int_{B[\ell|_{\mathfrak{k}}]/(B[\ell|_{\mathfrak{k}}] \cap B[\ell])} \overline{\varphi(b)\chi_\ell(b)} db,$$

- $db$  designating an invariant measure on the homogeneous space  $B[\ell|_{\mathfrak{k}}]/(B[\ell|_{\mathfrak{k}}] \cap B[\ell])$

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○ Then  $\mu_\pi$ -almost everywhere in  $\Omega(\pi)$ , the vector  $a_\ell^K \in \mathcal{H}_\pi^{-\infty}$  is an eigen-vector for all the elements of  $D_\pi(G)^K$  acting on  $\mathcal{H}_\pi^\infty$  by continuity.

○ This means that for any  $W \in \mathcal{U}_\pi(\mathfrak{g})^\ell$ , we have

$$W \cdot a_\ell^K := \pi(W)a_\ell^K = P_W(\ell)a_\ell^K$$

with a certain complex scalar  $P_W(\ell)$ .

○ The scalar  $P_W(\ell)$  does not depend on the choice of polarizations.

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## Theorem 2

Suppose that  $\pi|_K$  has finite multiplicities. The homomorphism  $\mathcal{U}_\pi(\mathfrak{g})^\mathbb{E} \ni W \mapsto P_W : \ell \mapsto P_W(\ell)$  defines an imbedding of  $D_\pi(G)^K$  into the field  $\mathbb{C}(\Omega(\pi))^K$  of *rational  $K$ -invariant* functions on  $\Omega(\pi)$ .

- Is it true that  $\ell \mapsto P_W(\ell)$  continuously extends to a  *$K$ -invariant polynomial* function on  $\Omega(\pi)$  ?
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# About Conjecture 2: $K$ -diagonal elements

- An element  $W \in \mathcal{U}_\pi(\mathfrak{g})^\natural$  is said to be  **$K$ -diagonal**, if

$$W \cdot a_\ell^K = P_W(\ell) a_\ell^K$$

for a certain scalar  $P_W(\ell) \in \mathbb{C}$  independent of the choice of polarizations to describe the distribution  $a_\ell^K$  and  $\ell \mapsto P_W(\ell)$  extends to a rational function on  $\Omega(\pi)$ .

- Any  $K$ -diagonal element of  $\mathcal{U}(\mathfrak{g})$  belongs to  $\mathcal{U}_\pi(\mathfrak{g})^\natural$ .
- In the case where  $\pi|_K$  has finite multiplicities, any element of  $\mathcal{U}_\pi(\mathfrak{g})^\natural$  is  $K$ -diagonal.
- Let  $W \in \mathcal{U}(\mathfrak{g})$  be  $K$ -diagonal. Then  $\pi(W)$  is zero if and only if  $W \in \ker(\pi)$ .

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## Theorem 3

Let  $\pi \in \hat{G}$  and let  $W \in \mathcal{U}(\mathfrak{g})$  be  $K$ -diagonal. The function  $P_W$  extends to a  $K$ -invariant **polynomial** function on  $\Omega(\pi)$ .

- The geometries of  $K$  and  $G$ -coadjoint orbits play a crucial role in the proof (in term of saturation with respect to one codimensional ideals).

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- The geometries of  $K$  and  $G$ -coadjoint orbits play a crucial role in the proof (in term of saturation with respect to one codimensional ideals).

- Let  $\mathcal{V}$  be the set of  $K$ -diagonal elements. Consider the mapping

$$\Theta : \mathcal{V} \ni W \mapsto P_W \in \mathbb{C}[\Omega(\pi)]^K.$$

## Theorem 4

*Assume that  $\pi|_K$  has finite multiplicities. Then the mapping  $\Theta$  is surjective.*

# Conjecture 2 holds

○ And finally:

Theorem 5 (RT-AMS, 2022)

*Conjecture 2 holds in the setting of connected simply connected nilpotent Lie groups.*



# The Corwin-Greenleaf Conjecture

- For  $A \in \mathcal{U}(\mathfrak{g})$ , we denote by  $R(A)$  its right action. Consider back  $\tau := \tau(f, H)$ .
- Define the vector subspace  $\mathfrak{a}_\tau$  of  $\mathcal{U}(\mathfrak{g})$  to be the span of the set  $\{Y + if(Y), Y \in \mathfrak{h}\}$ .
- Let  $\mathcal{U}(\mathfrak{g})\mathfrak{a}_\tau$  be the left ideal of  $\mathcal{U}(\mathfrak{g})$  generated by  $\mathfrak{a}_\tau$ .
- $\mathcal{U}(\mathfrak{g}, \tau)$  to be the collection of all  $A \in \mathcal{U}(\mathfrak{g})$  such that  $A\psi$  leaves  $C^\infty(G, \tau)$  stable, where:

$$C^\infty(G, \tau) = \{\varphi : G \rightarrow \mathbb{C}; \varphi \in C^\infty(G) \text{ and } \varphi(gh) = \overline{\chi(h)}\varphi(g), \\ g \in G, h \in \mathbb{Z}\}.$$

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# The Corwin-Greenleaf Conjecture

- We then find that

$$\mathcal{U}(\mathfrak{g}, \tau) = \{A \in \mathcal{U}(\mathfrak{g}); [Y, A] \in \mathcal{U}(\mathfrak{g})\mathfrak{a}_\tau, \forall Y \in \mathfrak{h}\}.$$

- The map  $A \mapsto R(A)|C^\infty(G, \tau)$  gives us an algebra homomorphism  $\Phi$  of  $\mathcal{U}(\mathfrak{g}, \tau)$  onto  $D_\tau(G/H)$  with kernel  $\mathcal{U}(\mathfrak{g})\mathfrak{a}_\tau$ .
- This means that

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# The Corwin-Greenleaf Conjecture

- We prove the Corwin-Greenleaf Conjecture:

## Theorem 6 (Trans-AMS, 2023)

*Let  $G$  be a connected and simply connected nilpotent Lie group,  $H$  an analytic subgroup of  $G$  and  $\chi$  a unitary character of  $H$ . When  $\tau$  has finite multiplicities, the algebra  $D_\tau(G/H)$  is isomorphic to the algebra  $\mathbb{C}[\Gamma_f]^H$  of the  $H$ -invariant polynomial functions on the affine space  $\Gamma_f = f + \mathfrak{h}^\perp$ .*



○ And as a direct consequence:

## Theorem 7

*Suppose that  $\tau$  has finite multiplicities. Then, any non-zero element of  $D_\tau(G/H)$  admits a fundamental tempered solution.*

# A Counterexample: Negative answer to Duflo's Question

○ Let

$$G = \left\{ \begin{pmatrix} a^2 & x & z \\ 0 & a & y \\ 0 & 0 & 1 \end{pmatrix} ; a > 0, x, y, z \in \mathbb{R} \right\}.$$

Then  $G$  is exponential solvable (but not nilpotent).

○ Its Lie algebra  $\mathfrak{g} = \langle T, X, Y, Z \rangle_{\mathbb{R}}$  :

$$[T, X] = X, [T, Y] = Y, [T, Z] = 2Z, [X, Y] = Z.$$

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- Let  $f = 0 \in \mathfrak{g}^*$  and  $\mathfrak{h} = \mathbb{R}T$ .
- $\tau = \text{ind}_H^G 1$  is of infinite multiplicities, but  $D_\tau(G/H)$  is trivial.
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# Yet a solution to Duflo's Problem: The induction case

- Let  $S(\mathfrak{g})$  be the symmetric algebra of  $\mathfrak{g}$  and  $\mathfrak{a}_\tau = \{X + \sqrt{-1}f(X); X \in \mathfrak{h}\}$ . Then,  $S(\mathfrak{g})$  possesses the Poisson structure  $\{, \}$  well determined by the equality  $\{X, Y\} = [X, Y]$  if  $X, Y$  are in  $\mathfrak{g}$ .
- We consider the algebra  $(S(\mathfrak{g})/S(\mathfrak{g})\overline{\mathfrak{a}_\tau})^H$  of the  $H$ -invariant polynomial functions on the affine subspace  $\Gamma_\tau = \{\ell \in \mathfrak{g}^* : \ell(X) = f(X), X \in \mathfrak{h}\}$  of  $\mathfrak{g}^*$ . This inherits the Poisson structure from  $S(\mathfrak{g})$ .
- We denote by  $Z_\tau$  its Poisson center and  $C_\tau$  the center of  $D_\tau(G/H)$ . We here provide a positive solution to a problem due to Duflo stating that the algebras  $Z_\tau$  and  $C_\tau$  of  $D_\tau(G/H)$  are isomorphic. Only some particular cases are treated so far (cf. Tanimura).

# The restriction case


- Let  $G = \exp \mathfrak{g}$  be a connected and simply connected real nilpotent Lie group with Lie algebra  $\mathfrak{g}$ ,  $K = \exp \mathfrak{k}$  an analytic subgroup of  $G$  with Lie algebra  $\mathfrak{k}$ ,  $\pi$  an irreducible unitary representation of  $G$  and  $\pi|_K$  the restriction of  $\pi$  to  $K$ .
- Let  $D_\pi(G)^K$  be the algebra of the differential operators keeping invariant the space of  $C^{+\infty}$ -vectors of  $\pi$  and commuting with the action of  $K$  on that space.
- Let  $\Omega$  be the coadjoint orbit of  $G$  corresponding to  $\pi$  and  $\mathbb{C}[\Omega]^K$  the algebra of  $K$ -invariant polynomial functions on  $\Omega$ . Remark that  $\mathbb{C}[\Omega]^K$  is endowed with a Poisson product coming from the symplectic structure of  $\Omega$ .
- We show that the center of  $D_\pi(G)^K$  and the Poisson center of  $\mathbb{C}[\Omega]^K$  are isomorphic.

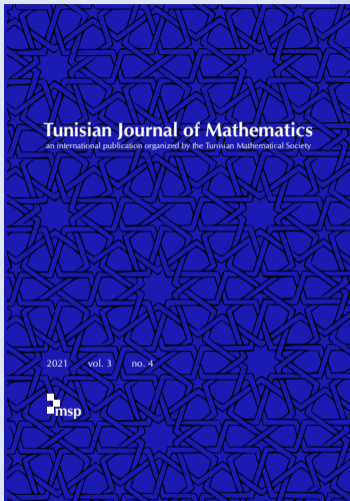


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# Representation Theory of Solvable Lie Groups and Related Topics

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